

25 FEB 1981

MEMORANDUM FOR: Director of Security

FROM:

STAT

SUBJECT: DoD Proposal to Coordinate Inspections of  
Sensitive Compartmented Information Facilities

1. Attached is an invitation to attend a meeting in the Pentagon on 12 March 1981 to discuss methods and means by which duplication of inspection efforts can be eliminated and reciprocity of inspection results ensured. Also attached is a draft of a Memorandum of Agreement which details how the proposal would be implemented.
2. This material was delivered on Wednesday, 24 February 1981 without explanation. A copy was also sent to you as Chairman, SECOM.
3. I will review current requirements for facility inspections and have a representative from the SECOM staff attend.
4. I suggest you might wish to have a member of PTOS attend.

STAT

Attachments

cc: DD/PTOS, w/att.

On file OSD release instructions apply.

POLICY REVIEW

23 February 1981


## MEMORANDUM FOR DISTRIBUTION

SUBJECT: Coordination of Inspections of Sensitive Compartmented Information Facilities

The number of facilities accredited to handle Sensitive Compartmented Information (SCI) in the United States Government has continued to increase in the past few years. There has not been a corresponding increase in the resources available to the agencies that have the responsibility to ensure the security of those SCI facilities. As consequences, inspection requirements have been diluted to frequencies that some Security Officers consider inadequate and inspections have become perfunctory in some instances.

In addition, facility control officers, both Government and industrial, complain that numerous visits by inspectors from many agencies attempting to enforce compliance with a variety of criteria, standards and regulations have occupied much time and, in some cases, been detrimental to operational production. Delays in meeting contractual or operational commitments are costly both in terms of capability and economics.

You are invited to a meeting to be held at 1300 on Thursday, 12 March 1981, in room 2A520, The Pentagon, to discuss methods and means by which duplication of inspection effort can be eliminated, and reciprocity of inspection results ensured. Please limit your participation to two representatives and certify their access eligibility for SCI to Mr. John Abernathy.

  
Maynard Anderson  
Deputy for Security Policy  
Director

## Distribution:

- ✓ Chairman, DCI Security Committee
- Chairman, APEX Steering Group
- Director of Security, Central Intelligence Agency
- Director of Security, National Security Agency
- Director of Security, SAFSS
- Assistant Chief of Staff For Intelligence, Department of the Army  
(Mr. Kelly)
- Assistant Chief of Naval Operations (Intelligence), Department of the Navy  
(Mr. Welch)
- Assistant Chief of Staff for Intelligence, Department of the Air Force  
(Colonel Shiver)

MEMORANDUM OF AGREEMENT

I. SCOPE: This Memorandum of Agreement (MOA) established areas of responsibility for the conduct of world-wide inspections of Sensitive Compartmented Information (SCI) facilities.

VII. TERMINATION OR MODIFICATION:

a. This Memorandum of Agreement will remain in effect as long as there is a DCI and DoD requirement for inspections of DoD SCI facilities.

b. This agreement is subject to review and modification upon the request of any of the signatories and/or whenever a change in operational requirements warrants such a review.

VI. REPORTS:

a. Inspection reports should be concise and should contain at least the following:

- (1) Facility identification and location.
- (2) Names of facility SCI security personnel contacted.
- (3) The identification of users for joint and shared facilities.
- (4) Brief overall description of the facility security posture.
- (5) Specifics of irregularities, and immediate action taken to correct them, will be noted in the inspection reports. Documents which cannot be accounted for will be given priority attention. All action taken to locate such documents will be made part of all inspection reports.

b. Copies of reports will be provided to cognizant accreditation authorities by the inspecting activity.

(3) Provide copies of inspection reports to signatories having interest in facilities inspected.

V. RESPONSIBILITIES:

a. DUSD(PR) will:

- (1) Chair Facilities Inspection Working Group (FIWG) to coordinate inspection efforts of signatories.
- (2) Maintain oversight to maintain inspection adequacy.
- (3) Resolve those disagreements or discrepancies among participants as necessary.

b. DIA will:

- (1) Inspect OSD, OJCS, DMA, DCA, DNA, DIA, MILDEP Headquarters and U&S Command Headquarters and other facilities in accordance with plan coordinated upon by DUSD(PR) and FIWG within one year of their being granted interim accreditation.
- (2) Conduct other inspections and staff assistance visits where circumstances dictate, e.g., to investigate significant security deficiencies, to review facilities operating under waivers, to provide expertise not available on-site, etc.
- (3) Provide copies of inspection reports to signatories having interest in facilities inspected.
- (4) Maintain repository of inspection reports for DoD facilities (less sensitive facilities that signatories do not want maintained).

c. Other Signatories will:

- (1) Inspect subordinate facilities within one year of their being granted interim accreditation in accordance with plan coordinated upon by DUSD(PR) and the FIWG.
- (2) Conduct periodic inspections IAW plan coordinated upon by DUSD(PR) and FIWG and other inspections staff assistance visits where circumstances dictate; to investigate significant security deficiencies to review facilities operating under waivers, to provide expertise not available on-site, etc.

IV. INSPECTION REQUIREMENTS:

*PROJECT PLANS*  
a. It is agreed and understood that the criteria for conduct of the inspections will be based upon the security standards promulgated by USIB-D-9.1/20 (30 April 1973), DCID No. 1/16 and 1/19, and DoD Directives, manuals, and regulations applicable to security, use, and dissemination of SCI.

b. Mandatory periodic inspections of accredited SCI facilities will be conducted by qualified, indoctrinated personnel. The inspectors' appraisal will include, but not be limited to, physical security of the facility as well as information control and personnel access procedures. In facilities containing electronic processing and/or ADP equipment, qualified TEMPEST engineers and/or computer security specialists will be included in the inspection team.

c. Inspections will be conducted as follows:

(1) Annually where the normal tour of duty of assigned personnel is 18 months or less.

(2) Annually where the organization or activity is encountering unusual security problems or difficulties or where inherent vulnerabilities presented by geographical or physical location or other hazards are a matter of continuing concern.

(3) Annually within contractor facilities.

(4) Every third year within all other organizations and activities.



III. OBJECTIVES: The objectives of this MOA are to establish procedures to effect coordination of inspections and reciprocity of results of those inspections by the signatories in order to eliminate duplication of effort and to make maximum use of existing resources.

II. BACKGROUND: The rate of increase in the number of accredited facilities and increased travel costs have made it increasingly more difficult for individual agencies to inspect all SCI facilities under their cognizance. Furthermore, there has been little or no coordination of inspection efforts and facilities are often overwhelmed by inspectors from different agencies, causing unnecessary disruption of and adverse impact upon operations with no obvious enhancement of security.

## ROUTING AND RECORD SHEET

SUBJECT: (Optional)

DoD Proposal to Coordinate Inspections of  
Sensitive Compartmented Information Facilities

FROM:

Deputy Director of Security, CA

EXTENSION

NO.

DATE

February 25, 1981

TO: (Officer designation, room number, and  
building)

DATE

RECEIVED

FORWARDED

OFFICER'S  
INITIALSCOMMENTS (Number each comment to show from whom  
to whom. Draw a line across column after each comment.)1. COPS  
DD/PTOS2 MAR  
19812 MAR  
1981

2. DD/PTOS

2 MAR  
1981

3/2/81

4. C/PSD

3 MAR  
1981

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## ROUTING AND TRANSMITTAL SLIP

Date

6 MAR 1981

TO: (Name, office symbol, room number,  
building, Agency/Post)

Initials

Date

1.

DD/P/05

2.

3.

4.

5.

Action

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Approval

For Clearance

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## REMARKS

Re #4 - I'll  
leave it up to you whether you  
send a rep or tie in  
with the SECOM staff  
rep to give you feedback  
from the first meeting w/o

DO NOT use this form as a RECORD of approvals, concurrences, disposals,  
clearances, and similar actions

FROM: (Name, org. symbol, Agency/Post)

Room No.—Bldg.

Phone No.

5041-102

OPTIONAL FORM 41 (Rev. 7-76)

Prescribed by GSA  
FPMR (41 CFR) 101-11.206